Check this box if no longer subject to biligitation if a form in or pointwis. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Image: Control of the securities is exchange Act of 1934   Image: Control (Control (Contro) (Contro)) Standard (Control (Contro)	SEC Forr	m 4																	
Check this box in longer subject to State EMEENT OF CHANGES IN DENEFICIAL OWNERSHIP Section 16, form 4 or form 5 obligations may continue. See instruction (b): Estimated average burden hours per response:   Link and Address of Reporting Person* ANDERSON N LEIGH Filed pursuant to Section 16(a) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issue (Check all applicable)   Link and Address of Reporting Person* ANDERSON N LEIGH 2. Issuer Name and Ticker or Trading Symbol LUNA INNOVATIONS INCO PORATED 5. Relationship of Reporting Person(s) to Issue (Check all applicable)   3. Date of Earliest Transaction (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Appli Line) 6. Individual or Joint/Group Filing (Check Appli Line)   3. The EMENT OF CHANGES IN DEVENTIONS INCORPORATED 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Appli Line)   3. The EMENT OF CHANGES IN DEVENTIONS INCORPORATED 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Appli Line)   3. The I = Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Provide (I) (Instr. 3) 6. Ownership Form: Direct Date (Month/Day/Year) 7. Transaction Date (Month/Day/Year) 6. Ownership Form: Direct By 6. Ownership Form: Dir	FORM 4															OMB APPROVAL			
Andread and Address of Reporting Ferson   ANDERSON N LEIGH   (Last) (First) (Middle)   (Last) (First) (Middle)   C/O LUNA INNOVATIONS INCORPORATED 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) X   301 1ST STREET SW, SUITE 200 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable)   (Street) ROANOKE VA 24011   (City) (State) (Zip) Rule 10b5-1(C) Transaction Indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.   Table I - Non-Derivative Securities Acquired, Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Date (D) (Instr. 4) 6. Ownership Form: Direct (D) or Indirect (D) (Instr. 4)   1. Title of Security (Instr. 3) 2. Transaction Date (If any Month/Day/Year) 3. Transaction Date (If any Month/Day/Year) 3. Transaction (D) (Instr. 4) 5. Amount of Derivative Securities Acquired, Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Derivative Securities Acquired, Disposed of (D) (Instr. 3, 4 and 5) 6. Ownership Form: Direct (D) (Indirect (D) (Instr. 4) 6. Ownership Form: Direct (D) (Indirect (D) (D) (Instr. 4) 6. Ownership Form: Direct (D) (D) (Instr. 4) 6. Ownership Form: Direct (D) (D) (D) (D) (D) (D) (D) (D)	Section obligation	16. Form 4 or ons may conti	STA		ed pursuan	t to Section 16	(a) of the	e Secu	rities	s Exchan	ge Act of 19		SHIP	Estim	ated av	verage burde	3235-0287 :n 0.5		
(Last) (First) (Middle)   C/O LUNA INNOVATIONS INCORPORATED 05/24/2023 6. Individual or Joint/Group Filing (Check Appli Direct Appli   301 1ST STREET SW, SUITE 200 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Appli Direct Appli   (Street) ROANOKE VA 24011   (City) (State) (Zip)   Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Security (Instr. 3) 5. Amount of Securities Acquired, Disposed of (D) (Instr. 3, 4 and Sinstrum 5. Amount of Securities Acquired, Disposed of, or Beneficially Owned   1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Instr. 4) 4. Securities Acquired (A) or (D) or Indirect (D) o																			
301 1ST STREET SW, SUITE 200 4. if Amendment, Date of Original Filed (Month/Day/Year) b. individual of Joint/Group Filing (Check Appli Line)   (Street) ROANOKE VA 24011 Rule 10b5-1(c) Transaction Indication S. Form filed by One Reportin Person   (City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.   Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2. A Deemed Execution Date, (Month/Day/Year) 3. Transaction (Month/Day/Year) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and (D) or Price 5. Amount of Securities (Instr. 3 and 4) 6. Ownership Form: Direct (D) on Indirect (D) (Instr. 4)   Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Ownership Form: Direct (D) or Indirect (D) (Instr. 4)																		specify	
ROANOKE VA 24011 Person   (City) (State) (Zip) Rule 10b5-1(c) Transaction Indication   City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended is satisfy the affirmative defense conditions of Rule 10b5-1(c). See instruction 10. State   Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Disposed of (D) (Instr. 3, 4 and 5) 6. Ownership Form: Direct (D) or Indirect					ED	4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)						Line	,					
(City) (State) (Zip)   Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended in satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.   Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported Transaction(5) (Instr. 4) 6. Ownership of Code (Instr. 8) 7. of Disposed Of (D) (Instr. 3, 4 and 5) 6. Ownership Form: Direct (P) or Indirect (P) or Indire																by More than One Reporting			
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								quired,	, Dis	pos	sed of,	or Bene	ficially	`	and 4)	<u> </u>			
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## Stock Units (1) 05/24/2023

Explanation of Responses:

1. Stock Units are convertible into issuer's common stock on a 1-for-1 basis.

2. This grant was awarded in connection with Mr. Anderson's service as a member of the board of directors, pursuant to the issuer's non-employee director compensation policy. The number of stock units awarded is equal to \$63,000 divided by \$8.87, the closing price of the issuer's stock on the Nasdaq Capital Market on May 24, 2023, the date of grant.

(D)

7,102<sup>(2)</sup>

3. The stock units vest upon the earlier of the one year anniversary of the grant date or the issuer's annual meeting of stockholders and, subject to vesting, will become issuable in common stock of the issuer at the election of the Reporting Person upon the earliest to occur of the Reporting Person's termination of service, a change in control of the issuer, an unforeseeable emergency, or a fixed date selected by the Reporting Person. The stock units have no expiration date.

Date Exercisable

(3)

Expiration Date

(3)

Title

Common Stock

## Remarks:

/s/ Scott A. Graeff, Attorney-05/26/2023 In-Fact

or Number

Shares

7,102

\$<mark>0</mark>

of

49,626.25

D

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v (A)

A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.